

**STATEMENT UNDER 37 CFR 3.73(b)**

2584.0020001/RWE/RAS

Applicant/Patent Owner: TICE et al.

Application No./Patent No.: 10/614,116 Filed/Issue Date: July 3, 2003

Entitled: Ketone Ligands for Modulating the Expression of Exogenous Genes Via An Ecdysone Receptor Complex

Intrexon Corporation, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Orla M. TICE, Enrique L. MICHELOTTE, Robert E. ROHMANN To: Rohm and Haas Company  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019027, Frame 0185, or for which a copy thereof is attached.
2. From: Rohm and Haas Company To: RGH, LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019041, Frame 0510, or for which a copy thereof is attached.
3. From: RGH, LLC To: New Rheogene I, LLC  
The document was recorded in the United States Patent and Trademark Office at  
Reel 019048, Frame 0740, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert P. Beech  
Signature  
ROBERT P. BEECH  
Printed or Typed Name  
President & CEO  
Title

5-8-07  
Date  
540-961-0725  
Telephone Number

670710v1

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**Statement Under 37 C.F.R. 3.73(b) Supplemental Sheet**

4. From: New Rheogene I, LLC, To: University of Pittsburgh Medical Center  
The document was recorded in the Patent and Trademark Office at  
Reel 019055, Frame 0746, or for which a copy thereof is attached.
5. From: University of Pittsburgh Medical Center To: Rheogene Holdings, Inc.  
The document was recorded in the Patent and Trademark Office at  
Reel 019063, Frame 0844, or for which a copy thereof is attached.
6. From: Rheogene Holding, Inc. To: Rheogene, Inc.  
The document was recorded in the Patent and Trademark Office at  
Reel 019063, Frame 0966, or for which a copy thereof is attached.
7. From: Rheogene, Inc. To: Rheogene, Inc. (A Delaware Corporation)  
The document was recorded in the Patent and Trademark Office at  
Reel 019069, Frame 0147, or for which a copy thereof is attached.
8. From: Rheogene, Inc. (DE Corporation), To: Intrexon Corporation  
The document was recorded in the Patent and Trademark Office at  
Reel 019073, Frame 0507, or for which a copy thereof is attached.